

# INDEPENDENT SUMMIT

March 15-17, 2023 | Omni Dallas

## WEDNESDAY, MARCH 15, 2023

11:00 AM – 7:00 PM

### REGISTRATION

Dallas Ballroom Foyer

12:00 PM – 1:45 PM

### WOMEN'S NETWORKING LUNCHEON

West End Room, Level 5

**Sally Cates**, Dynasty Financial Partners  
**Beverly Flaxington**, The Collaborative  
**Kimberlee Orth**, Ameriprise Financial Services, LLC  
**Elizabeth Scheiderer**, Sequoia Financial Group  
**Michelle Smith**, Source Financial Advisors

A casual luncheon to mix and mingle led by a group of Ambassadors to answer questions and strike up conversation.

2:00 PM – 4:00 PM

Dallas Ballroom E

### How Top Advisors Set Their Practice and Clients Up for Success CFP IWI

**in Crypto**

**Alyssa Choo**, Crypto Research Analyst, Bitwise Asset Management  
**Matt Hougan**, Chief Investment Officer, Bitwise Asset Management  
**Juan Leon**, Crypto Research Analyst, Bitwise Asset Management  
**Mick McLaughlin**, Chief Distribution Officer, Bitwise Asset Management  
**Ryan Rasmussen**, Crypto Research Analyst, Bitwise Asset Management  
Moderator: **Jack Otter**, Global Head of Wealth and Asset Management, Barron's Wealth & Asset Management Group

5:00 PM – 6:00 PM

Dallas Ballroom Foyer

### COCKTAIL RECEPTION

6:00 PM – 8:00 PM

Dallas Ballroom D

### WELCOME DINNER

#### “Is the Fed Wrong.... Again?” Prospects for the Economy and Markets in 2023 CFP IWI

**Jeremy Siegel**, Russell E. Palmer Professor of Finance, Wharton School of the University of Pennsylvania  
Interviewer: **Jack Otter**, Global Head, Barron's Wealth & Asset Management Group

8:00 PM – 9:00 PM

South Side 1, Level 3

### TOP ADVISORS COCKTAIL RECEPTION

Attendees are eligible for up to 11 CE CFP credits and 12 CE hours towards the Investments & Wealth Institute® designation (including 1 eligible *Tax and Regulation* credit).

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## THURSDAY, MARCH 16, 2023

7:45 AM – 6:00 PM

### REGISTRATION

Dallas Ballroom Foyer

7:45 AM – 8:45 AM

### BREAKFAST

Dallas Ballroom Foyer

8:45 AM – 9:35 AM

### GENERAL SESSION

Dallas Ballroom D

#### The Morning News with Barron's

**Robert Stein**, Deputy Chief Economist, First Trust Advisors L.P.

Interviewer: **Jack Otter**, Global Head, Barron's Wealth & Asset Management Group

CFP IWI

9:35 AM – 9:50 AM

### REFRESHMENT BREAK

Dallas Ballroom Foyer

9:50 AM – 10:40 AM

### BREAKOUTS

Dallas Ballroom F

#### RIA Aggregators, IPOs, and What's in Store for 2023

**David DeVoe**, Founder & CEO, DeVoe & Company

**Alex Goss**, CEO, NewEdge Advisors

Moderator: **Richard Buoncore**, Managing Partner, MAI Capital Management

Dallas Ballroom E

#### Kickstarting Growth at Your Firm

**Alexandra Miele**, The Andriole Group

**Spuds Powell**, Kayne Anderson Rudnick Wealth Advisors

Moderator: **John Furey**, Managing Partner, Advisor Growth Strategies, LLC

Dallas Ballroom C

#### Portfolio Strategy Panel: Help Deliver Top Quartile 'Alpha' with Direct Indexing

**Andy Kunzweiler**, Portfolio Manager, Direct Indexing, Morningstar

**Michael Laughlin**, Head of the Portfolio Specialist Team, Morningstar

**Marta Norton**, Chief Investment Officer – Americas, Morningstar Wealth, Morningstar

CFP IWI

Dallas Ballroom B

Eligible for Taxes & Regulations Credit.

#### Letting Go: The Ups and Downs of Selling a Practice

**Barry Beal**, CAPTRUST

**Scott Hanson**, Allworth Financial

**Matthew Somberg**, Gottfried & Somberg Wealth Management, LLC

Moderator: **Rush Benton**, Senior Partner, Strategic Growth, CAPTRUST

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**10:40 AM – 10:55 AM**

Dallas Ballroom Foyer

## REFRESHMENT BREAK

**10:55 AM – 11:45 AM**

Dallas Ballroom F

## BREAKOUTS

### **When the SEC Knocks at Your Door...They're Not Looking to Open a Roth IRA**

**Bradley Bondi**, Partner, Cahill Gordon & Reindel

**Tim Pagliara**, Chairman & Chief Investment Officer, CapWealth Advisors, LLC

Moderator: **Meghan McCartan**, Chief Marketing Officer, Hightower Advisors

Dallas Ballroom E

### **Roles in Transition: The Changing Responsibilities of C-Suite Execs**

**Sammy Azzouz**, Heritage Financial

**Richard Buoncore**, MAI Capital Management

**Jim Cahn**, Wealth Enhancement Advisory Services

**Neela Hummel**, Abacus Wealth Partners

Moderator: **Rosanne Green**, Vice President, National Sales and Marketing, LPL Financial

Dallas Ballroom C

### **Retirement Blind Spots: Lessons from Behavioral Finance**

**Jeffrey Clark**, Director, Advanced Planning, Jackson National Life Distributors LLC

Interviewer: **Barbara Hudock**, Chief Executive Officer, Hudock Capital Group, LLC

CFP IWI

Dallas Ballroom B

### **The Do's and Don'ts of Buying a Business. Learn from the Mistakes and Successes of a Serial Acquirer**

**Marty Bicknell**, Mariner Wealth Advisors

**Rick Kent**, Merit Financial Advisors

**Kay Lynn Mayhue**, Merit Financial Advisors

Moderator: **Ray Sciafani**, Founder & CEO, ClientWise LLC

**11:45 AM – 11:55 AM**

Dallas Ballroom Foyer

## REFRESHMENT BREAK

**11:55 AM – 1:05 PM**

Dallas Ballroom D

## LUNCH

**1:05 PM – 1:55 PM**

## GENERAL SESSION

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Dallas Ballroom D

## Yields are Back: Where to Invest

**Rick Rieder**, Managing Director, Chief Investment Officer of Global Fixed Income, BlackRock

Interviewer: **Jonathan Diorio**, Managing Director, Product Management, BlackRock

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1:55 PM – 2:05 PM

## REFRESHMENT BREAK

Dallas Ballroom Foyer

2:05 PM – 2:55 PM

## BREAKOUTS

Dallas Ballroom F

### Emotional and Financial Wellness—the Advisor’s Role

**Jean Edelman**, Founder Health & Wellness, The Truth About Your Future

Dallas Ballroom E

### Why 2023 Could Be a ‘Good Vintage’ for Private Equity

**David Aiken**, Managing Partner, Private Equity, Brookfield Asset Management, Brookfield

**John Guinee**, Managing Partner & Co-Founder, Constitution Capital Partners LLC

Moderator: **Christian Gannon**, Director of Investments, Twickenham Advisors

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Dallas Ballroom C

### Back on the Map: Why Emerging Markets Bonds Today?

**Eric Fine**, Portfolio Manager, Emerging Markets Debt, Van Eck Global

Moderator: **Dryden Pence**, Chief Investment Officer, Pence Wealth Management

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Dallas Ballroom B

### Breakthrough Marketing Strategies That Get Noticed — And Remembered

**David Armstrong**, Monument Wealth Management

**Victoria Greene**, G Squared Private Wealth

**Tony Parr**, Financial Planning for Young Adults: The view from 30,000 ft, The Parr McKnight Wealth Management Group

Moderator: **Beverly Flaxington**, Bestselling & Gold-Award Winning Author, The Collaborative

2:55 PM – 3:10 PM

## REFRESHMENT BREAK

Dallas Ballroom Foyer

3:10 PM – 4:00 PM

## BREAKOUTS

Dallas Ballroom F

### Public Speaking Tune-Up

**Jane Hanson**, CEO & Founder, HHH Productions

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Dallas Ballroom E	<b>Leading with Learning: How Advisors Can Use Alternatives Education to Better Serve Client Needs</b> <b>Abby Salameh</b> , Chief Marketing Officer, CAIS Interviewer: <b>Jeremy Paul</b> , President, Perigon Wealth Management	CFP IWI
Dallas Ballroom C	<b>Banking and Lending Solutions: A Blueprint for Liquidity Management</b> <b>Mario Depasquale</b> , Regional Banking Director, Goldman Sachs Private Bank Select <b>Crystal Garrett</b> , Senior Vice President, Tiras Wealth Management <b>Paul Sargis</b> , Regional Banking Director, Goldman Sachs Private Bank Select Moderator: <b>Kara Grehan</b> , Vice President, National Accounts, Goldman Sachs Private Bank Select	CFP IWI
Dallas Ballroom B	<b>Best Practices for Client-facing Technology, Social Media, Podcasts, and Virtual Workspaces</b> <b>Barry Ritholtz</b> , Chief Investment Officer, Ritholtz Wealth Management LLC Interviewer: <b>Brian Hamburger</b> , President & CEO, MarketCounsel   HamburgerLaw	
<b>4:00 PM – 4:15 PM</b> Dallas Ballroom Foyer	<b>REFRESHMENT BREAK</b>	
<b>4:15 PM – 5:00 PM</b> Dallas Ballroom D	<b>GENERAL SESSION</b> <b>SPECIAL GUEST SPEAKER</b> <b>Emmitt Smith</b> , Businessman and Entrepreneur, Pro Football Hall of Fame Running Back Interviewer: <b>Matt Barthel</b> , Executive Editor, Barron's Wealth & Asset Management Group	
<b>5:00 PM – 6:00 PM</b> Dallas Ballroom Foyer	<b>COCKTAIL RECEPTION</b>	

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## FRIDAY, MARCH 17, 2023

8:00 AM – 11:00 AM

### REGISTRATION

Dallas Ballroom Foyer

8:00 AM – 9:00 AM

### BREAKFAST

Dallas Ballroom Foyer

9:00 AM – 9:50 AM

### GENERAL SESSION

Dallas Ballroom D

#### The Future of Wealth Management

**Bradley Losson**, VP & National Managing Director, Relationship Management, Charles Schwab Advisor Services

**Rohit Mahna**, Head of Client Growth, Fidelity Investments

Moderator: **Paul Tramontano**, SMD, First Republic Investment Management

IWI

9:50 AM – 10:40 AM

### GENERAL SESSION

Dallas Ballroom D

#### A Value Manager's Perspective on 2023

**Matthew McLennan**, CFA, Co-Head of Global Value Team, Portfolio Manager, First Eagle Investments

Interviewer: **Patrick Fruzzetti**, Managing Director, Portfolio Manager, Rose Advisors

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10:40 AM – 10:55 AM

### REFRESHMENT BREAK

Dallas Ballroom Foyer

10:55 AM – 11:45 AM

### GENERAL SESSION

Dallas Ballroom D

#### How to Position for the New Bull Market in 2023

**Mary Ann Bartels**, Chief Investment Strategist, Sanctuary Wealth

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11:45 AM – 11:45 AM

### GENERAL SESSION

Dallas Ballroom D

#### CLOSING REMARKS

**Jack Otter**, Global Head, Barron's Wealth & Asset Management Group

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